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<b>Substitute for form 1449B/PTO</b> <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  Date Submitted: November 12, 2004  (use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	10/814,295
		Filing Date	04/01/2004
		First Named Inventor	Paul THURK et al.
		Group Art Unit	2879
Examiner Name	Unassigned BUMSUK WON		
Attorney Docket Number	040897-0115		
Sheet	1	of	3

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
BW	A1	4,965,485		TARUMI, et al.	10/23/1990	
	A2	5,537,000		ALIVISATOS, et al.	07/16/1996	
	A3	5,813,753		VRIENS, et al.	09/29/1998	
	A4	6,069,440		SHIMIZU, et al.	05/30/2000	
	A5	6,175,187	B1	TSUTSUI	01/16/2001	
	A6	6,252,915	B1	MOLLENKOPF, et al.	06/26/2001	
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	A8	6,336,837	B1	MAEDA	01/08/2002	
	A9	6,406,803	B1	ABE, et al.	06/18/2002	
	A10	6,417,019	B1	MUELLER, et al.	07/09/2002	
	A11	6,501,091	B1	BAWENDI, et al.	12/31/2002	
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	A17	2003/0066998	A1	LEE	04/10/2003	
	A18	2002/0153830	A1	ANDRIESSEN	10/24/2002	
	A19	2002/0167024	A1	JABBOUR, et al.	11/14/2002	

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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
BW	A20	MADOU, "Pattern Transfer with Additive Techniques," Fundamentals of Microfabrication, Second Edition, 2002, pp. 123-181, CRC Press, United States. no month	
BW	A21	ZUKAUSKAS, et al., "Introduction to Solid-State Lighting," 2002, Chapter 2, pp. 7-19, John Wiley & Sons, Inc., New York. no month	
BW	A22	HEINRICH, et al., "Luminescent Colloidal Silicon Suspensions from Porous Silicon," January 3, 1992, Science, pp. 66-68, Vol. 255.	

Examiner Signature		Date Considered	10/31/05.
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.


<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO		<b>Complete if Known</b>	
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		Examiner Name	<del>Unassigned</del> BUMSUK WON
Sheet	2	of	3
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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
BW	A23	BELOMOIN, et al., "Oxide and Hydrogen Capped Ultrasmall Blue Luminescent Si Nanoparticles," Applied Physics Letters, August 7, 2000, pp. 779-781, Vol. 77, No. 6,	
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BW	A26	BAUER, et al., "Laser Synthesis of Low-Agglomerated Submicrometer Silicon Nitride Powders from Chlorinated Silanes," Journal of the American Ceramic Society, November 1991, pp. 2759-68, Vol. 74, No. 11.	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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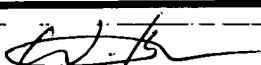
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BW	A34	DING, et al., "Electrochemistry and Electrogenerated Chemiluminescence from Silicon Nanocrystal Quantum Dots," Science, 17 May 2002, pp. 1293-1297, Vol. 296.	
BW	A35	LU, et al., "Growth of Single Crystal Silicon Nanowires in Supercritical Solution from Tethered Gold Particles on a Silicon Substrate," Nano Letters, 2003, pp. 93-99, Vol. 3, No. 1.  no month	

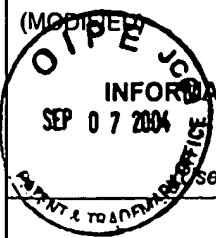
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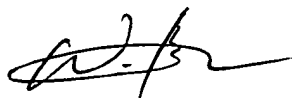
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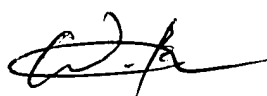
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Form PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 040897-0115		SERIAL NO. 10/814,295	
 <p>INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)</p>				APPLICANT Paul Thirk			
				FILING DATE 4/1/2004		GROUP ART UNIT <del>Unknown</del> 2879	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
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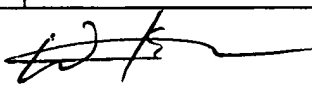
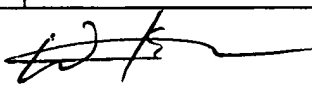
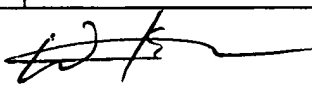
BW		US 6,608,330 B1	8/19/2003	Yamada			
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✓		US 6,701,686	_____	_____			

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	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
BW		EP 1111966 A2	6/27/2001	EP	_____	_____		

#### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

BW		Bauer, et al., "Laser Synthesis of Low-Agglomerated Submicrometer Silicon Nitride Powders from Chlorinated Silanes," <i>J Am. Ceram. Soc.</i> , 74 (11), pp. 2759-2768, 1991. Published by American Ceramic Society, Westerville, OH
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BW	Hanrath, et al., "Nucleation and growth of germanium nanowires seeded by organic monolayer-coated gold nanocrystals," <i>J. Am. Chem. Soc.</i> , Vol. 124, No. 7, pp. 1424-1429, 2002. Published by American Chemical Society.				
BW	"Organic light emitting diodes (OLEDs) for general illumination Update 2002." An Optoelectronics Industry Development Association (OIDA) Technology Roadmap, Published by Optoelectronics Industry Development Association.				
BW	Huisken, et al., "Light-emitting silicon nanocrystals from laser pyrolysis," <i>Adv. Mater.</i> , Vol. 14(24), pp. 1861-1865, 2002. Published by VCH Publishers, Deerfield Beach, FL.				
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BW	Durel 3 Lamp Technology, <a href="http://www.rogerscorporation.com">www.rogerscorporation.com</a> , website article.				
<table border="1"> <tr> <td>EXAMINER</td> <td></td> <td>DATE CONSIDERED</td> <td>00/31/05.</td> </tr> </table>		EXAMINER		DATE CONSIDERED	00/31/05.
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<p>* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with next communication to applicant.</p>					